



NEW YORK STATE UNIFIED COURT SYSTEM

BUDGET

FISCAL YEAR 2015-2016

GENERAL STATE CHARGES

Jonathan Lippman
CHIEF JUDGE

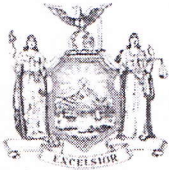
A. Gail Prudenti
CHIEF ADMINISTRATIVE JUDGE

Lawrence K. Marks
FIRST DEPUTY CHIEF
ADMINISTRATIVE JUDGE

Ronald Younkins
EXECUTIVE DIRECTOR
OFFICE OF COURT ADMINISTRATION

Eugene W. Myers
CHIEF OF OPERATIONS
OFFICE OF COURT ADMINISTRATION

Maureen McAlary
BUDGET DIRECTOR




*New York State
Unified Court System
25 Beaver Street
New York, New York 10004*

*A. Gail Prudenti
Chief Administrative Judge*

212-428-2120

December 1, 2014

To: Honorable Andrew M. Cuomo Honorable Sheldon Silver
 Honorable Dean G. Skelos Honorable Jeffrey D. Klein
 Honorable Andrea Stewart-Cousins Honorable Brian M. Kolb
 Honorable John DeFrancisco Honorable Herman D. Farrell, Jr.
 Honorable Liz Krueger Honorable Robert C. Oaks
 Honorable John J. Bonacic Honorable Helene E. Weinstein

From: A. Gail Prudenti 

I respectfully submit the itemized estimates of the annual financial needs of the Judiciary for General State Charges for the Fiscal Year beginning April 1, 2015. Article VII, Section 1 of the Constitution requires the submission of these estimates to the Governor and legislative leaders on or before December 1, 2014.

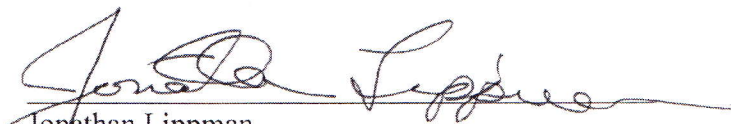
For the 2015-16 Fiscal Year, the Judiciary is again submitting itemized estimates of funding for General State Charges necessary to pay the fringe benefits of judges, justices and nonjudicial employees separately from itemized estimates of the annual operating needs of the Judiciary. This presentation follows the long-standing practice of the Executive and Legislative Branches of separately presenting requests for funding of fringe benefit costs and requests for operating funds. The Judiciary will submit a single budget bill, which includes requests for funding for operating expenses and fringe benefit costs for the 2015-16 Fiscal Year.

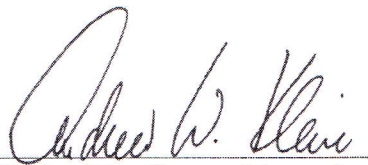
CHIEF JUDGE'S CERTIFICATION

ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY
FOR GENERAL STATE CHARGES

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, I certify that the attached schedules are the itemized estimates of the financial needs of the Judiciary for General State Charges for the fiscal year beginning April 1, 2015 and that they have been approved by the Court of Appeals.




Jonathan Lippman
Chief Judge of the Court of Appeals

ATTEST: 
Clerk of the Court of Appeals

Date: November 19 2014

COURT OF APPEALS APPROVAL

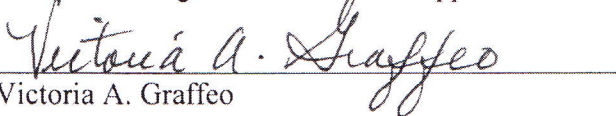
ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY
FOR GENERAL STATE CHARGES

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, the Court of Appeals approves the attached itemized estimates of the financial needs of the Judiciary for General State Charges for the fiscal year beginning April 1, 2015.

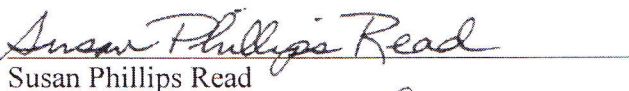


Jonathan Lippman
Chief Judge of the Court of Appeals

Associates Judges of the Court of Appeals:



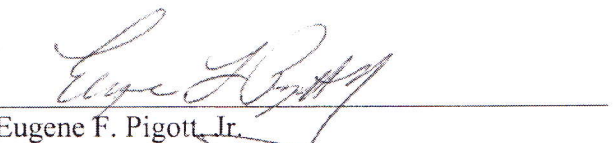
Victoria A. Graffeo



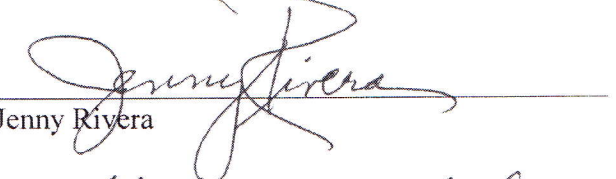
Susan Phillips Read



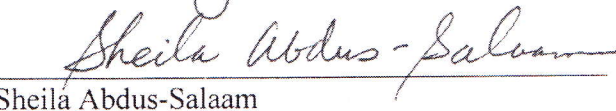
Robert S. Smith



Eugene F. Pigott, Jr.



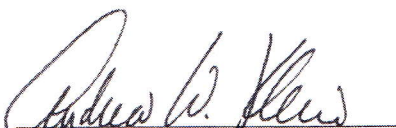
Jenny Rivera



Sheila Abdus-Salaam



ATTEST:



Clerk of the Court of Appeals

Date: November 19, 2014

**JUDICIARY
2015-16 BUDGET REQUEST
GENERAL STATE CHARGES**

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Judiciary Budget Request for General State Charges

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**Unified Court System
2015-16 Budget Request
All Funds Appropriation Requirements
General State Charges**

General State Charges	<u>2014-15 Available</u>	<u>2015-16 Required</u>	<u>Change</u>
General Fund	\$642,103,529	\$668,702,070	\$26,598,541
NYC County Clerks' Operations Offset Fund	\$10,542,570	\$10,487,063	(\$55,507)
Judiciary Data Processing Offset Fund	\$7,935,997	\$8,361,646	\$425,649
Miscellaneous Special Revenue Fund	\$7,570,875	\$7,742,176	\$171,301
Court Facilities Incentive Aid Fund	\$771,722	\$768,941	(\$2,781)
Lawyers' Fund for Client Protection	\$209,098	\$218,604	\$9,506
General State Charges - All Funds Total	\$669,133,791	\$696,280,500	\$27,146,709

**Unified Court System
2015-16 Budget Request
All Funds Disbursement Requirements
General State Charges
(Millions)**

General State Charges	<u>2014-15 Adjusted</u>	<u>2015-16 Required</u>	<u>Change</u>
General Fund	\$642.1	\$668.7	\$26.6
NYC County Clerks' Operations Offset Fund	10.5	10.5	0
Judiciary Data Processing Offset Fund	7.9	8.4	0.5
Miscellaneous Special Revenue Fund	7.6	7.7	0.1
Court Facilities Incentive Aid Fund	0.8	0.8	0
Lawyers' Fund for Client Protection	0.2	0.2	0
General State Charges - All Funds Total	\$669.1	\$696.3	\$27.2

STATE OF NEW YORK

FY 2015-16

General State Charges

Major Purpose Summary

GENERAL STATE CHARGES

2015-16 All Funds Budget Request:	\$696,280,500
<i>General Fund:</i>	668,702,070
<i>Special Revenue Funds:</i>	27,578,430

Major Purpose Description

This Major Purpose includes funding required for fringe benefits provided to state-paid judges and nonjudicial employees. Appropriations are required for pension contributions, Social Security and Medicare, health insurance premiums, dental, vision and life insurance, and employee benefit funds. These General State Charges appropriations are included in the 2015-16 budget bill submitted by the Judiciary.

Summary of 2015-16 Funding: General State Charges

The General State Charges Major Purpose All Funds budget requirement is \$696.3 million, or an increase of \$27.1 million (4.1%) over the current year adjusted appropriation. Required amounts for payment of fringe benefits include funding for the continuation of all statutorily-required and collectively bargained benefit provisions, as follows:

Nonpersonal Service

Pension: \$284.7 million

The amount required reflects an estimated obligation of \$284.7 million, as amortized, to the New York State Employees' Retirement System, which includes the repayment of amortized amounts from prior years. This represents an increase of \$14.6 million (5.4%) over the current year's projected obligation. The funding requirement also reflects an estimated obligation of \$1.2 million to the New York City Retirement System for certain retirees who elected to remain in that retirement system.

Social Security and Medicare: \$105.1 million

The amount reflects a statutorily-mandated employer contribution rate of 7.65% applied to earnings up to \$118,500 and 1.45% on earnings exceeding \$118,500. The requirement represents an increase of \$0.4 million (0.4%) over the current year's adjusted appropriation. This increase reflects a change in the Social Security wage ceiling, and collectively negotiated salary increases and increments. The net increase in these funding requirements is partially offset by savings associated with turnover.

Health, Dental, Vision & Life Insurance: \$282.6 million

This requirement reflects an increase of \$12.1 million (4.5%) over the 2014-15 adjusted appropriation. The requested amount reflects increases in health insurance premiums as projected by the NYS Department of Civil Service. Funding is also included for retired employees' health insurance; administrative costs payable to the NYS Department of Civil Service, and funds necessary

to reimburse localities for certain retirees who elected to remain in local health plans. The amount also includes costs associated with dental, vision and life insurance coverage for judges, unrepresented and managerial/confidential nonjudicial employees.

Employee Benefit Funds: \$23.9 million

The amount includes contractual obligations to employee benefit funds for eligible employees and retirees. Employees participating in these funds are generally ineligible for other judiciary dental plans and in some instances, the prescription drug reimbursement plan, thus reducing the amounts required for health and dental insurance.

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ALL FUNDS
GENERAL STATE CHARGES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Pension	270,118,967	284,699,832	14,580,865
Social Security and Medicare	104,691,702	105,124,209	432,507
Health, Dental, Vision and Life	270,455,905	282,571,300	12,115,395
Employee Benefit Funds	23,867,217	23,885,159	17,942
Total Nonpersonal Service	<u>669,133,791</u>	<u>696,280,500</u>	<u>27,146,709</u>
 Grand Total	 669,133,791	 696,280,500	 27,146,709

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

GENERAL STATE CHARGES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Pension	259,617,686	273,794,166	14,176,480
Social Security and Medicare	100,621,655	101,097,338	475,683
Health, Dental, Vision and Life	259,000,379	270,904,106	11,903,727
Employee Benefit Funds	<u>22,863,809</u>	<u>22,906,460</u>	<u>42,651</u>
Total Nonpersonal Service	642,103,529	668,702,070	26,598,541
Grand Total	642,103,529	668,702,070	26,598,541

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

GENERAL STATE CHARGES
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Pension	3,652,646	3,667,253	14,607
Social Security and Medicare	1,415,679	1,354,118	(61,561)
Health, Dental, Vision and Life	5,030,194	5,039,568	9,374
Employee Benefit Funds	444,051	426,124	(17,927)
Total Nonpersonal Service	<u>10,542,570</u>	<u>10,487,063</u>	<u>(55,507)</u>
 Grand Total	 10,542,570	 10,487,063	 (55,507)

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

GENERAL STATE CHARGES
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Pension	3,298,618	3,547,001	248,383
Social Security and Medicare	1,278,466	1,309,715	31,249
Health, Dental, Vision and Life	3,086,450	3,231,674	145,224
Employee Benefit Funds	272,463	273,256	793
Total Nonpersonal Service	<u>7,935,997</u>	<u>8,361,646</u>	<u>425,649</u>
 Grand Total	 7,935,997	 8,361,646	 425,649

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

GENERAL STATE CHARGES
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Pension	3,144,465	3,274,428	129,963
Social Security and Medicare	1,218,720	1,209,069	(9,651)
Health, Dental, Vision and Life	2,947,494	3,004,621	57,127
Employee Benefit Funds	260,196	254,058	(6,138)
Total Nonpersonal Service	<u>7,570,875</u>	<u>7,742,176</u>	<u>171,301</u>
 Grand Total	 7,570,875	 7,742,176	 171,301

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

GENERAL STATE CHARGES
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Pension	318,967	324,942	5,975
Social Security and Medicare	123,624	119,983	(3,641)
Health, Dental, Vision and Life	302,433	298,755	(3,678)
Employee Benefit Funds	26,698	25,261	(1,437)
Total Nonpersonal Service	<u>771,722</u>	<u>768,941</u>	<u>(2,781)</u>
 Grand Total	 771,722	 768,941	 (2,781)

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

GENERAL STATE CHARGES
LAWYERS' FUND FOR CLIENT
PROTECTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Pension	86,585	92,042	5,457
Social Security and Medicare	33,558	33,986	428
Health, Dental, Vision and Life	88,955	92,576	3,621
Total Nonpersonal Service	<u>209,098</u>	<u>218,604</u>	<u>9,506</u>
Grand Total	209,098	218,604	9,506