

Center for Judicial Accountability, Inc. (CJA)

From: Senate Foil <foil@nysenate.gov>
Sent: Wednesday, November 30, 2016 11:59 AM
To: Center for Judicial Accountability, Inc. (CJA)
Subject: Re: RECORDS REQUEST: The Legislature's compliance with Legislative Law Sec. 90
Attachments: Rules and Regulations March 2013.pdf; Scanned from a Xerox Multifunction Device.pdf

November 30, 2016

Ms. Elena Ruth Sassower, Director
Center for Judicial Accountability, Inc.
Post Office Box 8101
White Plains, NY 10602
cja@judgewatch.org

Dear Ms. Sassower:

This is to acknowledge receipt of your email dated November 22, 2016 pursuant to the Freedom of Information Law.

You are requesting information / records relating to the Legislature's compliance with Legislative Law §90: "Internal control responsibilities".

Please find the attached pdf of Senate Rule XIV for your review.

Also, please be advised additional / remainder of records requested, if the records even exist, are not subject to disclosure pursuant to Senate Rules.

I have attached a copy of the Senate's Rules and Regulations Relating to the Public Inspection and Copying of Legislative Records for your information.

Sincerely,

Francis W. Patience
Secretary of the Senate

(See attached file: Rules and Regulations March 2013.pdf)

From: "Center for Judicial Accountability, Inc. \CJA\
<elena@judgewatch.org>

To: <foil@nysenate.gov>, "Robin Marilla"
<marillar@assembly.state.ny.us>
Cc: <DZimmerman@EFPRgroup.com>
Date: 11/22/2016 03:06 PM
Subject: RECORDS REQUEST: The Legislature's compliance with Legislative
Law Sec. 90

TO: Secretary of the Senate Francis Patience

Assembly Records Access Officer Robin Marilla

Attached is the Center for Judicial Accountability's above-entitled records request of today's date.

Thank you.

Elena Sassower, Director

Center for Judicial Accountability, Inc. (CJA)

914-421-1200

www.judgewatch.org

cc: Douglas Zimmerman, Chief Operating Officer/EFPR Group, LLP

RULE XIII ADJOURNMENT

Section 1. The Senate shall not adjourn for more than two days without the consent of the Assembly.

RULE XIV GUIDELINES FOR A SYSTEM OF INTERNAL CONTROLS

Section 1. Pursuant to Article 6 of the Legislative Law as enacted by the New York state governmental accountability, audit and internal control act of 1987, the following guidelines for a System of Internal Control are hereby established:

- a. all financial transactions shall be executed in accordance with the general or specific authorization of the Temporary President or his or her designated representative;
 - b. all financial transactions shall be recorded in conformity with generally accepted accounting principles or other applicable criteria and to maintain accountability for assets;
 - c. access to assets shall be permitted only in accordance with the authorization of the Temporary President or his or her designated representative;
 - d. the recorded accountability for assets shall be compared with the existing assets at reasonable intervals and appropriate action shall be taken with respect to any differences; and
 - e. the system of internal controls shall provide reasonable assurance that the foregoing are accomplished.
- §2. Expenditure reporting. The Temporary President shall establish a system of expenditure reporting whereby expenditures of the Senate shall be reported by such categories as he or she shall determine. Reports of expenditures by such system shall be published in a form to be prescribed by the Temporary President.