# CENTER for JUDICIAL ACCOUNTABILITY, INC.

P.O. Box 69, Gedney Station White Plains, New York 10605-0069 Tel. (914) 421-1200 Fax (914) 428-4994 E-Mail: judgewatch@aolcom Web site: www.judgewatch.org

#### BY FAX AND MAIL: 212-428-2155 (4 pages)

April 8, 2002

John Eiseman, Records Access Officer Office of Court Administration 25 Beaver Street New York, New York 10004

#### RE: Request to Inspect & Copy Records

Dear Mr. Eiseman:

Pursuant to the Freedom of Information Law (F.O.I.L) [Public Officers Law, Article VI] and Part 124 of the Chief Administrator's Rules for Public Access to Records, request is made to inspect and copy:

- publicly-available records pertaining to amendments to the Chief Administrator's Rules Governing Judicial Conduct, effective January 1, 1996, upon approval of the Court of Appeals – and, in particular, §100.3(D)(1) & (2) "requir[ing] a judge to report misconduct by lawyers and judges when there is evidence of a 'substantial likelihood' of a 'substantial violation' of a rule". To assist you, enclosed is a summary of the amendments, as published in the 1996 Annual Report of the New York State Commission on Judicial Conduct.
- 2. publicly-available records pertaining to the "Housing Court Disciplinary Committee", including those relating to its history, membership, rules of procedure, and such annual reports as it may have rendered. To assist you, enclosed is a copy of the published announcement of such "Housing Court Disciplinary Committee", as it appeared in the June 24, 1994 New York Law Journal.

Pursuant to F.O.I.L [Public Officers Law §89.3], your response is required within five business days of receipt of this written request.

Thank you.

Yours for a quality judiciary, Scena Core ELENA RUTH SASSOWER, Coordinator

Center for Judicial Accountability, Inc. (CJA)

Enclosures

1996 Annual Report of the NYS Commission on Vedicial Conduct



# Amendments to the <u>Rules on Judicial Conduct</u>

Effective January 1, 1996, new Rules on Judicial Conduct went into effect, upon approval of the Court of Appeals. In addition to certain substantive changes, the Rules were reorganized and renumbered. The full text is appended to this Report. Among

the new provisions are the following.

| Rule Number          | SUBSTANCE OF NEW PROVISION  |
|----------------------|---|
| 100.2(D)             | Prohibits membership by a judge in any organization which practices invidious discrimination  |
| 100.3 <b>(B)(4)</b>  | Requires a judge to perform judicial duties without bias or prejudice   |
| 100.3( <b>B)(5)</b>  | Requires a judge to require lawyers to refrain from manifesting bias or prejudice in the judge's court  |
| 100.3(B)(6)(a)-(e)   | Authorizes certain ex parte communications  |
| 100.3 <b>(B)</b> (7) | Requires a judge to dispose of all judicial matters "promptly, efficiently<br>and fairly"   |
| 100.3 <b>(B)(8)</b>  | Prohibits a judge from making public comments about a pending or im-<br>pending matter in any court within the United States                              |
| 100.3 <b>(B)</b> (9) | Prohibits a judge from criticizing or commending jurors for their verdict   |
| 100.3(B)(10)         | Prohibits a judge from disclosing or using non-public information acquired<br>in a judicial capacity  |
| 100.3(C)(1) & (2)    | Require a judge and judge's staff to avoid bias or prejudice in the course of discharging administrative responsibilities                                 |
| 100.3(D)(1)&(2)      | Require a judge to report misconduct by lawyers and judges when there is<br>evidence of a "substantial likelihood" of a "substantial violation" of a rule |

| Rule Number        | SUBSTANCE OF NEW PROVISION   |
|--------------------|--|
| 100.3(E)(1)(f)     | Allows a judge to eliminate a personal or family financial conflict of inter-<br>est that would otherwise require disqualification by disposing of the inter-<br>est   |
| 100.4(C)(3)(b)     | Clarifies the limitations on a judge's civic and charitable activities with<br>respect to fund-raising; permits a judge to accept an unadvertised award<br>at an organization's fund-raising event                                     |
| 100.4(D)(2)&(3)(b) | Provides that a judge may hold and manage family investments, including real estate  |
| 100.4(D)(5)        | Relaxes the restrictions on gifts or loans to judges and increases the threshold on reporting such gifts or loans to \$150   |
| 100.5(A)           | Revises the rules on political activity; requires a judge or candidate for<br>judicial office to maintain certain standards of conduct; prohibits inappro-<br>priate campaign pledges; permits comment in response to personal attacks |
| 100.5(C)           | Requires a judge to prohibit his or her staff from engaging in certain po-<br>litical activity, such as contributing more than \$500 a year to political<br>campaigns  |

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### 'S NEWS

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Chief Administrative Judge E. Leo Milonas this week named the seven members of the newly created Housing Court Disciplinary Committee that will consider complaints against City Housing Court judges. The disciplinary committee will function like the State Commission on Judicial Conduct and recommend whether a judge be admonished, sanctioned or removed from office. The final decision rests with Judge Milonas. A list of panel members appears on page 2.

## 7 Named to Committee On Judicial Discipline

FIVE PRESENT and former judges were among seven members appointed this week to a newly created Housing Court Disciplinary Committee that will consider complaints against City Housing Court judges.

The members named by Chief Administrative Judge E. Leo Milonas are Manhattan Supreme Court Justice Fern Fisher-Brandveen; Appellate Division Justices Myriam J. Altman of the Second Department and David Ross of the First Department; former Supreme Court Justices Fred W. Eggert and Supreme Court Justice Maurice Harbater; former Housing Court Clerk James E. Morton; and Consolidated Edison attorney Kenneth Standard.

The panel will be modeled after the State Commission on Judicial Conduct. and either dismiss complaints or recommend that a Housing Court judge be admonished, sanctioned or removed from office. Judge Milonas will have to approve any disciplinary measures. TRAMSMISSION VERIFICATION REPORT

John Eiseman Records Decess Ofice OCA TIME : 04/08/2002 08:45 HAME : CJA

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