

ATTORNEY DISCIPLINARY ACTIVITIES
PERIOD COVERED (1 ANNUAL - 1/1/19 - 12/31/19)

SECOND, ELEVENTH AND THIRTEENTH JUDICIAL DISTRICTS

SECOND DEPARTMENT

I. MATTERS PROCESSED:

A.	Matters Pending at Start of Period	1,313
B.	New Matters During Period	<u>1,926</u>
C.	Closed Matters Reactivated During Period	<u>16</u>
D.	Total Matters to be Processed During Period (A+B+C)	3,254
E.	Total Matters Disposed of During Period	<u>2,039</u>
F.	Matters Pending at End of Period	<u>1,215</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

		CASES*	MATTERS**
A.	Rejected as Failing to State a Complaint	<u>1,086</u>	<u>1,086</u>
B.	Referred to Other Disciplinary Committees	<u>373</u>	<u>373</u>
C.	Referred to Other Agencies	<u>17</u>	<u>17</u>
D.	Dismissed or Withdrawn	<u>93</u>	<u>98</u>
E.	Dismissed through Mediation	<u>0</u>	<u>0</u>
F.	Letter of Caution	<u>0</u>	<u>0</u>
F-1	Letter of Advisement	<u>255</u>	<u>255</u>
G.	Letter of Admonition	<u>23</u>	<u>25</u>
H.	Reprimand	<u>0</u>	<u>0</u>
I.	Referred to Appellate Division (Disc. Proc.)	<u>33</u>	<u>41</u>
J.	Other***	<u>73</u>	<u>143</u>
Total Disposed of During Period (Same as I E above)		<u>1,953</u>	<u>2,039</u>

III. CASES PROCESSED IN ALL COURTS:

A.	Cases Pending at Start of Period	<u>67</u>
	1. Disciplinary Proceedings****	<u>44</u>
	2. Other*****	<u>23</u>
B.	Cases Received During Period	<u>71</u>
	1. Disciplinary Proceedings	<u>30</u>
	2. Other	<u>41</u>
C.	Total to be Processed During Period	<u>138</u>

D. Cases Closed

1.	Disbarred	<u>12</u>
2.	Disciplinary Resignations	<u>5</u>
3.	Suspended*****	<u>13</u>
4.	Censured	<u>4</u>
5.	Privately Censured	<u>0</u>
6.	Remanded to Grievance Committee	<u>6</u>
7.	Discontinued	<u>2</u>
8.	Dismissed	<u>1</u>
9.	Reinstatement Granted	<u>10</u>
10.	Reinstatement Denied	<u>6</u>
11.	Non-Disciplinary Resignations	<u>8</u>
12.	All other Dispositions	<u>11</u>
13.	Total Closed	<u>78</u>

Total Cases Pending at End of Period 60

E.

1.	Disciplinary Proceedings	<u>40</u>
2.	Other	<u>20</u>

Dated: Brooklyn, New York
9-Jul-20

Respectfully Submitted,


Diana Maxfield Kearse
Chief Counsel

* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

** Matters represents individual complaints, inquiries and sua sponte investigations. This does not include telephone inquiries.

*** Other for the purposes of Part II includes files closed for any reason not otherwise covered under Sections A -I (e.g., files pending but not included within the disciplinary proceeding at the time of respondent's disbarment; files closed pending outcome of ongoing litigation; files closed due to respondent's death).

**** Disciplinary Proceeding includes only those proceedings before the Court which may result in final discipline including: any Court ordered disciplinary proceeding, motion to strike for felony conviction, reciprocal discipline, resignation under investigation.

***** Other for the purposes of Part III includes all other proceedings before the Court which may not result in final discipline (e.g., motion for interim suspension, petition for authorization, application for medical exam, application for conservator, motion to reargue, appeals, application for reinstatement, notification to Court of criminal conviction, voluntary resignation and request for disclosure order).

*****Suspended includes definite, interim, and indefinite suspensions.

ATTORNEY DISCIPLINARY ACTIVITIES
2019 YEAR END (January 1 through December 31)

NINTH JUDICIAL DISTRICT

SECOND DEPARTMENT

I. MATTERS PROCESSED:

A.	Matters Pending at Start of Period	817	
B.	New Matters During Period	941	
C.	Closed Matters Reactivated During Period	29	
D.	Total Matters to be Processed During Period (A+B+C)		1787
E.	Total Matters Disposed of During Period		1302
F.	Matters Pending at End of Period		485

II. MATTERS DISPOSED OF BY COMMITTEE

		<u>Cases¹</u>	<u>Matters²</u>
A.	Rejected as Failing to State a Complaint	380	380
B.	Referred to Other Disciplinary Committees	188	188
C.	Referred to Other Agencies	34	34
D.	Dismissed or Withdrawn	272	272
E.	Dismissed through Mediation	0	0
F.	Letter of Advisement	132	132
G.	Letter of Admonition	64	64
H.	Reprimand	0	0
I.	Referred to Appellate Division (Disc. Proc.)	60	105
J.	Other ³	127	127
	Total Disposed of During Period (same as I E above)	1257	1302

III. CASES PROCESSED IN ALL COURTS:

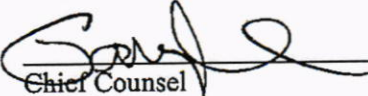
A.	Cases Pending at Start of Period	47 (69 matters)
	1. Disciplinary Proceedings ⁴	19 (41 matters)
	2. Other ⁵	28 (28 matters)
B.	Cases Received During Period	60 (105 matters)
	1. Disciplinary Proceedings	25 (45)
	2. Other	35 (60)
C.	Total to be Processed During Period	107 (174 matters)

ATTORNEY DISCIPLINARY ACTIVITIES

D.	Cases Closed	
	1. Disbarred ⁶	8 (29 matters)
	2. Disciplinary Resignations	1 (1 matter)
	3. Suspended ⁷	6 (6 matters)
	4. Censured	1 (1 matter)
	5. Privately Censured	0
	6. Remanded to Grievance Committee	0
	7. Discontinued	1 (1 matter)
	8. Dismissed	0
	9. Reinstatements Granted	1 (1 matter)
	10. Reinstatements Denied	2 (2 matters)
	11. Non-Disciplinary Resignations	18 (18 matters)
	12. All Other Dispositions	24 (39 matters)
	13. Total Closed	62 (98 matters)
E.	Total Cases Pending at End of Period	45 (76 matters)
	1. Disciplinary Proceedings	24 (44 matters)
	2. Other	21 (32 matters)

Dated: White Plains, New York
January 22, 2020

Respectfully Submitted,


Chief Counsel

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- ¹ **Cases** refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.
- ² **Matters** represents individual complaints, inquiries and *sua sponte* investigations. This does not include telephone inquiries.
- ³ **Other** for the purposes of **Part II** includes files closed for any reason not otherwise covered under *Sections A -I* (e.g., files pending but not included within the disciplinary proceeding at the time of respondent's disbarment; files closed pending outcome of ongoing litigation; files closed due to respondent's death).
- ⁴ **Disciplinary Proceeding** includes only those proceedings before the Court which may result in final discipline including: any Court ordered disciplinary proceeding, motion to strike for felony conviction, reciprocal discipline, resignation under investigation, revocation of admission to practice.
- ⁵ **Other** for the purposes of **Part III** includes all other proceedings before the Court which may not result in final discipline (e.g., motion for interim suspension, petition for authorization, application for medical exam, application for conservator, motion to reargue, motion for contempt, appeals, application for diversion, application for reinstatement, notification to Court of criminal conviction, non-disciplinary resignation, request for disclosure order).
- ⁶ **Disbarred** includes revocation of admission to practice.
- ⁷ **Suspended** includes definite, interim, and indefinite suspensions.

ATTORNEY DISCIPLINARY ACTIVITIES

PERIOD COVERED (Annual)
JANUARY - DECEMBER 2019

TENTH JUDICIAL DISTRICT

SECOND DEPARTMENT

I. MATTERS PROCESSED:

A. Matters Pending at Start of Period	985	
B. New Matters During Period	1,394	
C. Closed Matters Re-activated During Period	<u>19</u>	
D. Total Matters to be Processed During Period		2,398
E. Total Matters Disposed of During Period		<u>1840</u>
F. Matters Pending at End of Period		558

II. MATTERS DISPOSED OF BY COMMITTEE

	Cases	Matters
A. Rejected as Failing to State a Complaint	729	729
B. Referred to Other Disciplinary Committees	177	177
C. Referred to Other Agencies	87	87
D. Dismissed or Withdrawn	242	242
E. Dismissed through Mediation	14	14
F. Letter of Advisement	248	256
G. Letter of Admonition	60	68
H. Reprimand	0	0
I. Referred to Appellate Division (DPs)	47	65
J. Other	<u>74</u>	<u>202</u>
Total Disposed of During Period	1678	1840

III. CASES PROCESSED IN ALL COURTS

A. Cases Pending at Start of Period	<u>81</u>
1. Disciplinary Proceedings	40
2. Other	41
B. Cases Received During Period	<u>126</u>
1. Disciplinary Proceedings	47
2. Other	79
C. Total to be Processed During Period	<u>207</u>

ATTORNEY DISCIPLINARY ACTIVITIES

D. Cases Closed

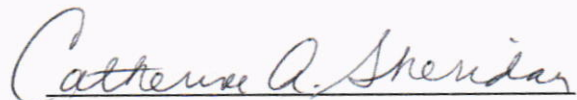
1. Disbarred	15
2. Disciplinary Resignations	1
3. Suspended	15
4. Censured	5
5. Privately Censured	0
6. Remanded to Grievance Committee	5
7. Discontinued	5
8. Dismissed	2
9. Reinstatements Granted	7
10. Reinstatements Denied	6
11. Non-Disciplinary Resignation	35
12. All Other Dispositions	<u>28</u>
13. Total Closed	<u>124</u>

E. Total Cases Pending at End of Period 83

1. Disciplinary Proceedings	49
2. Other	34

Dated: January 14, 2020
Hauppauge, New York

Respectfully Submitted,



CATHERINE A. SHERIDAN
Chief Counsel